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PTO/SB/21 (08-03)

# TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

| | | | |
|---|---|---|---|
| | | Application Number | 09/378,577 |
| | | Filing Date | August 20, 1000 |
| | | First Named Inventor | Wenyuan Shi |
| | | Art Unit | 1645 |
| | | Examiner Name | Zeman, Robert A. |
| Total Number of Pages in This Submission | | Attorney Docket Number | 061818-5510 US (formerly 2101363-991000) |

**ENCLOSURES** ***(Check all that apply)***

| | | |
|---|---|---|
| ☐ Fee Transmittal Form | ☐ Drawing(s) | ☐ After Allowance Communication to Group |
| ☐ Fee Attached | ☐ Licensing-related Papers | ☐ Appeal Communication to Board of Appeals and Interferences |
| ☐ Amendment/Reply | ☐ Petition | ☐ Appeal Communication to Group **(Appeal Notice, Brief, Reply Brief)** |
| ☐ After Final | ☒ Change of Address | ☐ Proprietary Information |
| ☐ Affidavits/declaration(s) | ☒ Revocation and Power of Attorney (with Schedule A) | ☐ Status Letter |
| ☐ Extension of Time Request (See Remarks) | ☒ Statement Under 37 CFR § 3.73(b) | ☒ Other Enclosure(s) *(please identify below)*: |
| ☐ Express Abandonment Request | ☐ Request for Refund | • Return postcard |
| ☐ Information Disclosure Statement | ☐ CD, Number of CD(s) | |
| ☐ Certified Copy of Priority Document(s) | Remarks | **The Commissioner is authorized to charge any additional fees to Deposit Account 50-0310.** |
| ☐ Response to Missing Parts/ Incomplete Application | | |
| ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

| | | |
|---|---|---|
| Firm or Individual | Morgan, Lewis & Bockius LLP<br>Nan Wu | Reg. No. 43,360 |
| Signature | | |
| Date | July 25, 2005 | |

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

| | | | |
|---|---|---|---|
| Typed or printed name | Judi Stillwell | | |
| Signature | | Date | July 25, 2005 |

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | | |
|---|---|---|---|
| Application of: | See Attached Schedule A | | |
| Serial No.: | See Attached Schedule A | | |
| Filed: | See Attached Schedule A | | |
| For: | See Attached Schedule A | Attorney Docket No: | See Attached Schedule A |

REVOCATION AND POWER OF ATTORNEY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R:

The Regents of the University of California, owner of the entire right, title and interest in, to and under the inventions listed in the attached Schedule hereby revokes all previous powers of attorney and appoints Morgan, Lewis & Bockius LLP, Customer No. 43850, and each of them, its attorneys, to prosecute these matters, and to transact all business in the Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71, provided that, if any one of these attorneys ceases being affiliated with the law firm of Morgan, Lewis & Bockius LLP as partner, counsel, or employee, then the appointment of that attorney and all powers derived therefrom shall terminate on the date such attorney ceases being so affiliated.

Please direct all future correspondence to the address associated with Customer No. 43850, Morgan, Lewis & Bockius LLP and direct all telephone calls to Morgan, Lewis & Bockius LLP at (650) 843-4000.

Date: 4/13/05

Assignee: The Regents of the University of California
Signature:
Typed Name: Lorelei de Larena
Position/Title: Intellectual Property Manager
Address: 10920 Wilshire Blvd. Suite 1200
Los Angeles, CA 90024-1406

# Schedule A- UCLA

| MLB File No.<br>UC R # in brackets | App. No. / Patent No. | Inventor(s) | Title | Date Filed<br>Date Issued |
|---|---|---|---|---|
| 061818-5510 US<br>(1999-543-1) | 09/378,577 | Shi and Anderson | Method for the Treatment and Prevention of Dental Caries | 08/20/99 |
| 061818-5511 US<br>(1999-543-2) | 09/881,823 | Shi, Anderson, et al. | DNA Molecules and Recombinant DNA Molecules for Producing Humanized Monoclonal Antibodies to *S. Mutans* | 06/15/01 |
| 061818-5512 US<br>(1999-543-3) | 09/910,358 | Shi, Anderson, et al. | Fusion Proteins for Targeted Delivery of Antimicrobial Peptides | 07/19/01 |
| 061818-5512 US01<br>(1999-543-4) | 10/077,624 | Shi, Anderson, et al. | Anti-Microbial Targeting Chimeric Pharmaceutical | 02/14/02 |
| 061818-5512 US02<br>(2003-509-1 according to GC records)<br>(1999-543-5 according to your records) | 10/706,391 | Randal Eckert, Qi, Shi and Anderson | Anti-Microbial Targeting Chimeric Pharmaceutical | 11/12/03 |
| 061818-5509 US<br>(2002-409-1) | 10/156,378 | Shi, Anderson, et al. | Herbs & Herbal Combinations Useful for the Treatment of Microbial Infections | 05/28/02 |
| 061818-5515 US<br>(2004-522-1) | 10/795,554 | Shi, Anderson, et al. | Compositions Useful for the Treatment of Microbial Infection | 03/04/04 |
| 061818-5516 US<br>(2002-483-1) | 10/164,446 | Justin Merritt, Shi, Anderson, et al. | Quorum Sensing and Biofilm Formation | 06/06/02 |

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PATENT & TRADEMARK OFFICE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:
Wenyuan SHI, et al.

Application Serial No.: 09/378,577

Filing Date: August 20, 1999

For: METHOD FOR THE TREATMENT AND PREVENTION OF DENTAL CARIES

Examiner: Zeman, Robert A.
Art Unit: 1645
Confirmation No. 9309

Attorney Docket No.:
**061818-5510 US**
**(Formerly 2101363-991000)**

**COMMUNICATION**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants enclose herewith a Revocation and Power of Attorney by Assignee executed on April 13, 2005 by Lorelei de Larena on behalf of The Regents of The University of California and a Statement under 37 C.F.R 3.73(b) executed by Nan Wu (Reg. No. 43,360) on behalf of the assignee, as appointed representative under the Power of Attorney.

Applicants believe that no fee is required with the submission of the enclosed document. However, if it is found that a fee is necessary, please charge the required fee to Morgan, Lewis & Bockius LLP Deposit Account No. 50-0310. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date: July 25, 2005

Nan Wu 43,360
(Reg. No.)

MORGAN LEWIS & BOCKIUS LLP
2 Palo Alto Square
3000 El Camino Real
Suite 700
Palo Alto, CA 94306-2212
(415) 442-1340

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## STATEMENT UNDER 37 C.F.R. § 3.73(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a corporation (Type of Assignee, e.g., corporation, partnership, university government agency), states that it is:

☒ the assignee of the entire right, title, and interest; or

☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is . %

in the patent application/patent identified above by virtue of an assignment from the inventor(s) of the patent application/patent identified above.

☒ The assignment was recorded in the United States Patent and Trademark Office on November 12, 1999 at Reel 012132, Frame 0922, or for which a copy thereof is attached.

*OR*

☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: To:
The document was recorded in the United States Patent and Trademark Office on
at Reel , Frame , or for which a copy thereof is attached.

2. From: To:
The document was recorded in the United States Patent and Trademark Office on
at Reel , Frame , or for which a copy thereof is attached.

The undersigned whose signature and title is supplied below is authorized to act on behalf of the assignee.

Name Nan Wu

Signature _____ Date July _, 2005

Title Attorney- Reg No. 43,360 Telephone (415) 442-1340

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:
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Attorney Docket No.:
**061818-5510 US**
**(Formerly 2101363-991000)**

## CHANGE OF ADDRESS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please address all future correspondence in this case to:

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Respectfully submitted,

Date July 27, 2005

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